

11/a
12/11/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Deborah A. Bennett et al.

Application No.:

09/626,205

For:

**METHODS AND SYSTEMS FOR ELECTRONIC
ORDER ROUTING**

Filed:

July 26, 2000

Group Art Unit:

3624

Examiner:

Geoffrey R. Akers

Assistant Commissioner for Patents

Washington, DC 20231

RECEIVED

DEC 09 2002

GROUP 3600**AMENDMENT AND REMARKS**

Sir:

This Amendment is filed in response to the Office Action mailed June 5, 2002.

Please amend the above-identified U.S. patent application as follows:

In the Claims:

Please add the following new claims 36-40:

36. (New) The method of claim 1, wherein transmitting the selection of available financial instruments and pricing parameters further comprises:

receiving the pricing parameters for the available financial instruments in a spreadsheet format from each of a plurality of fund managers at respective fund manager terminals via the global communications network; and

allowing a plurality of brokers at respective broker terminals to access the pricing parameters associated with the available financial instruments via the global communications network.

37. (New) The method of claim 1, wherein using said transactional data to complete an order for said financial instrument further comprises:

receiving a message by the host server from a fund manager at a fund manager terminal via the global communications network requesting a download of the order;

12/09/2002 AMONDAF1 00000026 501458 09626205

01 FC:1201
02 FC:120284.00 CH
90.00 CH